

Assessment Procedure

Fundamentals of Capital Market- BCMC02

The candidates who appeared for the assessment of this course were given a descriptive exam . The exam was out of 50. Those who secured a minimum of 50% marks in exam is qualified in this course. A sample question paper is given below:



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FUNDAMENTALS OF THE CAPITAL MARKET-FINAL TEST

MAXIMUM MARKS: 50 Duration: 60 MINUTES

Each question carries one mark. No Negative marks.

The pass mark for this test is 25.

INSTITUTION / LOCATION	
CANDIDATE NAME	
YEAR /COURSE	
Date	
DOB	

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TRAINER	
STUDENT ATTENDANCE	
EXAMINER	
TEST SCORE	
PASS / FAIL	
SIGNATURE OF EXAMINER	



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1. The STOCK MARKETS in India are regulated by
 - a. The Indian Parliament
 - b. b. SEBI
 - c. Finance Ministry.
2. The oldest stock exchange in Asia is
 - a. The NSE
 - b. The Karachi Stock Exchange
 - c. The Bombay Stock Exchange
3. Expansion of SIP.....
 - a. Systematic investment program
 - b. Systematic investment plan
 - c. Systematic investment participant
 - d. None of the above
4. SEBI has introduced a facility called (ASBA) in the primary market
 - a. True
 - b. False
5. FPO stands for _____
 - a) Future public offer
 - b) Follow on public offer
 - c) Offer for sale
6. NOW is the internet trading software introduced by :
 - a. NSE
 - b. BSE
 - c. MSE.



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7. To invest into stocks listed on the secondary market the investor should open
- Stock broking account
 - Commodity account
 - Stock broking and DP account
8. The full form of SEBI is _____
- Securities Exchange Board of India
 - Sock Exchange Board of India
 - Security Exchange Board of India
9. The Depositories Act was passed in the year:
- 1994
 - 2001
 - 1996
10. The office of Emirates Airlines in Chennai is an..... (branch/pvt co/JV)
11. In a Balance sheet Assets = Liabilities + _____
12. A Depository participant is a Of the Depository.
- AGENT
 - BRANCH
 - BROKER)
13. AUM stands for:
- Assets under making
 - Assets under Management
 - Assets under mutual fund




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14. The amount paid to buy an Option is called

- a. Premium
- b. Brokerage
- c. Cost

15. Term Insurance is a pure RISK policy-

- a. True
- b. False

16. National Securities Clearing Corporation is a

- a. Depository
- b. Bank
- c. Clearing corporation

17. Full form of NSE

- a. National stock exchange
- b. National securities exchange
- c. National security exchange

18. The two types of Mutual fund are -----& -----


- a. Open ended & Close ended
- b. Open ended & Shut ended
- c. ETF & RIET

19. Hero Honda is an example of

- a. FDI
- b. Joint Venture
- c. Branch office of a foreign company


20. ASBA stands for




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21. Mutual funds values are denoted in
- a. daily prices
 - b. NAV
 - c. Premium
22. ETF stands for
- a. Extra Terrestrial Force
 - b. Exchange of Trading Funds
 - c. Exchange Traded Funds
23. In India, the NSE and the BSE settle all the Futures Contracts on of the month.
- a. The first Thursday
 - b. Third Thursday
 - c. Last Thursday
24. Money Lenders are a financial intermediary belonging to
- a. Organized system
 - b. Unorganised system
 - c. Lending system
25. The NIFTY is the NSE index consisting of
- a. 30 stocks
 - b. 50 stocks
 - c. 100 stocks
26. If you feel that the shares Sun Pharma will go up, but you do not have enough cash immediately, then you should
- a. Wait till you take a loan
 - b. Buy a Sun Pharma Futures
 - c. Wait till the prices come down




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27. In the Cash Market, Settlement will take place on T + _____

- a. 2
- b. 3
- c. 4

28. OTC Stands for

- a. Over Traded Capital
- b. Over The Counter
- c. Own Traded Capital

29. In primary markets IPO is considered as

- a. traded offering
- b. public markets
- c. issuance offering
- d. initial public offering

30. _____ is the process by which physical certificates of an investor are converted to an equivalent number of securities in electronic form.

- a. Materialisation
- b. Re-materialisation
- c. Conversion
- d. Dematerialization

31. Price of the share Rs. 150 EPS Rs.5 calculate the PE value

- a. 5
- b. 150
- c. 30
- d. 25



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32. NEAT stands for.....

- a. Nation Exchange for Automated Trading
- b. National Exchange for Automated Trading
- c. National Exchange for Automated Trade

33. The corporate credit rating of "AAA" rating indicates.....

- a. highest degree of safety
- b. lowest degree of safety
- c. highest degree of weakness
- d. lowest degree of weakness

34. Who regulates the money circulation in India?

- a. State Bank of India
- b. Reserve Bank of India
- c. NABARD
- d. Commercial Banks

35. What is 'Repo rate'?

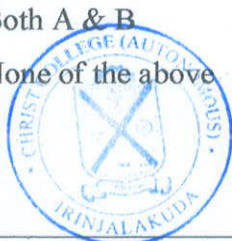
- a. is the rate at which the RBI lends to State Government
- b. is the rate at which the International aid agencies lend to RBI
- c. is the rate at which the RBI lends to banks
- d. is the rate at which the banks lends to RBI

36. Buying and selling of shares can be done through a _____.

- a. Depository
- b. Bank
- c. Stock Exchange
- d. Finance company

37. What are the types of options?

- a. Call option
- b. Put option
- c. Both A & B
- d. None of the above



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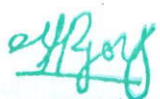
38. Who is the founder of Microsoft?
- Bill gates
 - Sundar pichai
 - Mark zuckerberg
39. The securities market has two segments primary market and secondary market. Is the above statement True or False
- FALSE
 - TRUE
40. Mutual funds are regulated in India by which among the following?
- RBI
 - SEBI
 - Stock Exchanges
 - RBI & SEBI both
41. What is the expanded form of the term ALM used in banking sector?
- Asset Liability Manipulation
 - Asset Liability Maintenance
 - Asset Liability Management
42. NSDL full form
- National Securities Depository Limited
 - National Stock Depository Limited
 - Nation Securities Depository Limited
43. Which insurance is suitable for motor vehicle damages repair or replacement costs?
- Health Insurance
 - Travel Insurance
 - Motor Insurance
 - Home Insurance
44. LIC is regulated by
- IRDA
 - SEBI
 - RBI
 - AMFI



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45. India Cements involved in
- a. Engineering
 - b. Infrastructure
 - c. Cement manufacturing
46. PAN stands for
- a. Permanent Account Number
 - b. Private Account Number
 - c. Personal Account Number
47. The Chairman of Reliance industries Ltd is
- a. Dhirubhai Ambani
 - b. Mukesh Ambani
 - c. Anil Ambani
48. SBI stands for
- a. State Bank Of India
 - b. State Bank of Bikaner
 - c. State Bank of Baroda
49. Before 1990 share trading was
- a. Floor Trading
 - b. Electronically Traded
 - c. Traded through individual
50. Hero Honda is an example of
- a. Joint Venture
 - b. FDI
 - c. Branch office of a foreign company




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